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01-14-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

SHUJI YAMADA

Serial No. 09/838,994

Filed: April 20, 2001

For: **SYSTEM AND METHOD OF
IMPLEMENTING A BUFFER MEMORY
AND HARD DISK DRIVE WRITE
CONTROLLER**

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Attorney Docket No. **JP920000113US1**

Examiner: **UNKNOWN**

Art Unit: **2182**

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Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants request that the information listed on the attached Substitute Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b)(3) before the mailing date of a first office action. No fee or certification is required.

No fee is believed to be necessary; however, in the event that any additional fee is required, please charge it to **IBM Deposit Account Number 09-0466**.

<p>CERTIFICATE OF MAILING UNDER 37 CFR § 1.8 (a) I hereby certify that this paper is being deposited with the United States Postal Service under 37 CFR 1.8(a) as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D. C. 20231, on <u>11/12</u>, 2001. <u>Dena Faris</u> (Typed or printed name of person mailing paper or fee) <u>Dena Faris</u> SIGNATURE of person mailing paper or fee</p>
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Respectfully submitted,

Brian F. Russell
Brian F. Russell
Reg. No. 40,796
BRACEWELL & PATTERSON, L.L.P.
Suite 350, Lakewood on the Park
7600B North Capital of Texas Highway
Austin, TX 78731
(512) 343-6116